

# Electronic Filing System (EFS) Data

## Electronic Patent Application Submission

### USPTO Use Only

EFS ID: 14082  
Application ID: 09683919  
Title of Invention: DEVICE AND SYSTEM FOR  
ALLOWING SECURE  
IDENTIFICATION OF AN  
INDIVIDUAL WHEN ACCESSING  
INFORMATION AND A METHOD  
OF USE  
First Named Inventor: Larry Jones  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2002-03-01   
Submission Type: Utility Patent Filing  
Filing Type: null  
Confirmation Number: 0  
Attorney Docket Number: 2376P  
Digital Certificate Holder: cn=Michele Liu., ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: B380uEsY3Qc4yqyTZq89qA==  
Total Fees Authorized: \$419.0

Payment Category: DA – Deposit Account  
Deposit Account Number: 22120  
Deposit Account Name: Joseph A. Sawyer, Jr.

# TRANSMITTAL FORM

JC474 U.S. PRO  
09/683919  
03/01/02



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

2376P

Submission Type: Utility Patent  
Filing

## DEVICE AND SYSTEM FOR ALLOWING SECURE IDENTIFICATION OF AN INDIVIDUAL WHEN ACCESSING INFORMATION AND A METHOD OF USE

First Named Inventor: Larry Jones

### SUBMITTED BY

Name: Ms. Michele Liu  
Registration Number: 44,875  
Electronic Signature Mark: \Michele  
Liu\ Date Signed: 20020301

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

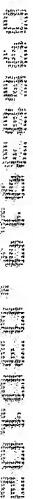
*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

**Attached Files:**

declaration	2376PDEC1.tif
declaration	2376DEC2.tif
declaration	2376DEC3.tif
bibd-transmittal	ONSPEC2376PFORMSapds.xml
fee-transmittal	ONSPEC2376PFORMSfee.xml
patent-assignments	ONSPEC2376PFORMSasgn.xml
specification	ONSPEC2376P.xml

**Attached Image File(s):**

2376PDEC1.tif  
2376DEC2.tif  
2376DEC3.tif



**Comments:**

**DECLARATION AND POWER OF ATTORNEY FOR UTILITY PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name,

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**DEVICE AND SYSTEM FOR ALLOWING SECURE IDENTIFICATION OF AN INDIVIDUAL  
WHEN ACCESSING INFORMATION AND A METHOD OF USE**

the specification of which

X is attached hereto.  
— was filed on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as am any amendment referred to above. I do not know and do not believe that the same was ever known or used in the United America before my invention thereof, or patented or described in any printed publication in any country before my invention t more than one year prior to this application, that the same was not in public use or on sale in the United States of America more year prior to this application, and said invention has not been patented or made the subject of an inventor's certificate issued b date of this application in any country foreign to the United States of America on an application filed by me or my legal repres or assigns more than twelve months prior to this application.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title of Federal Regulations, Section 1.56 (a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate havin date before that of the application on which priority is claimed:

Prior Foreign Application(s)	Priority Claimed
------------------------------	------------------

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, i the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as d Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the n: PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status -- patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status -- patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information a are believed to be true; and further that these statements were made with the knowledge that willful false statements and the li made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such false statements may jeopardize the validity of the application or any patent issued thereon.

I/we hereby appoint Joseph A. Sawyer, Jr., Reg. No. 30,801; Janyce R. Mitchell, Reg. No. 40,095, Stephen G. Sullivan, Reg. 38,329; Michele Liu, Reg. No. 44,875, and Joyce A. Tom, Reg. No. 48,681, of **SAWYER LAW GROUP LLP**, located at **1 Bayshore Rd., Suite 406, Palo Alto, California 94303, telephone (650) 493-4540**, as my/our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all telephone calls to Mr. Joseph A. Sawyer, Jr. at telephone number (650) 493-4540 and all correspondence to

**Joseph A. Sawyer, Jr.  
SAWYER LAW GROUP LLP  
P.O. Box 51418  
Palo Alto, California 94303**

I/we hereby declare that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code as such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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2/27/02

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2/27/02

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Date

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Country of Citizenship:              United States of America

2/27/2002  
Date

Nicholas Antonopoulos  
Signature

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Small Entity

Small Business Concern

## TOTAL FEES AUTHORIZED: \$ 419

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 02-2120 

Deposit Account Name: Sawyer Law Group LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

### SUBMITTED BY

Authorized Name: Joseph A. Sawyer, Jr.

Electronic Signature Mark: /Joseph A. Sawyer, Jr./

Date Signed: 20020228

### BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	201	\$ 370

Subtotal For Basic Filing Fee: \$ 370

### EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 21	203	\$ 9	1	\$ 9
Independent Claims: 2	202	\$ 42	0	\$ 0

Subtotal For Extra Claims Fees: \$ 9

ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	581	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40

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